## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Akio Uchiyama, et al.

**Examiner:** 

Unassigned

Serial No:

To be assigned

**Art Unit:** 

Unassigned

Filed:

Herewith

Docket:

17570

For:

OPTICAL SCANNING

Dated:

March 25, 2004

**OBSERVATION APPARATUS** 

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 6,069,698, dated May 30, 2000, issued to Ozawa et al.;
- 2. U.S. Patent No. 5,321,501, dated June 14, 1994, issued to Swanson et al.;
- 3. Japanese Unexamined Patent Application Publication No. 11-72431, published March 16, 1999 together with an English language abstract;

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: March 25, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 25, 2004

Thomas Spinelli (

4. Japanese Unexamined Patent Application Publication No. 2000-126115, published May 9, 2000 together with an English language abstract;

5. Japanese Patent Application Laid Open No. 11-148897, published June 2, 1999 together with an English language abstract;

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6. Japanese Patent Application Laid Open No. 7-243965, published September 19, 1995 together with an English language abstract; and

7. Japanese Patent Application Publication No. 6-511312, dated December 15,

1994 together with corresponding International Publication No. WO 92/19930, published November 12, 1992.

Applicants are submitting copies of references 3-7. References 1 and 2 are not

being submitted herewith, as copies of U.S. patents are no longer required per the waiver of

37 C.F.R. § 1.98(a)(2)(i) in effect as of June 30, 2003. The relevance of references 3-5 and 6

has been described in the specification. Applicants also submit herewith an International

Search Report dated February 18, 2003. References 4-7 have been described in the Search

Report.

Inasmuch as this Information Disclosure Statement is being submitted in

accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted.

Thomas Spinelli

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)	Application Number  To be assigned			
17570				
Applicant(s) Akio Uchiyama, et al.				
Filing Date	Group Art Unit			
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					U.S. PAT	ENT DOCUMENTS				
*EXAMINER INITIAL	REF	DOCUMENT N	NUMBER	DATE		NAME	CLASS	SUBCLASS		G DATE OPRIATE
		6,069,698	069,698		Ozawa, et al.					
		5,321,501	21,501		Swanson et al.					
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	REF	DOCUMENT N	DOCUMENT NUMBER			COUNTRY		SUBCLASS	Translation YES NO	
		11-72431 2000-126115 11-148897 7-243965		3/16/1999	Japan					
				5/9/2000	Japan	_				
				6/2/1999	Japan					
				9/19/1995	Japan					
		6-511312		12/15/1994	Japan					
					OTHER I	OOCUMENTS (Includi	ng Author, Title,	Date, Pertinent Pa	ges, Etc.)	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.